Doc 52325 08-13555-mg

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UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

Pq 1 of 5

In re:

Lehman Brothers Holdings Inc.

Case No.: <u>08-13555 (JMP</u>

Court ID (Court Use Only)

## NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

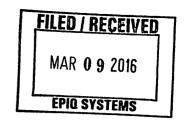
CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee:	Name of Transferor:
Zähringer Privatbank AG	Notenstein La Roche Privatbank AG
Notices to Transferee should be sent to:	Court Record Address of the Transferor:
Zähringer Privatbank AG	(Court use only)
Schmiedenplatz 3 CH-3011 Bern	
PHONE ++41 31 307 50 00	
Attn: Adrian Lerf	
EMAIL adrian.lerf@zaehringer-privatbank.ch	
Name and Address where transferee payments	Name and Current Address of Transferor Notenstein La Roche Privatbank AG
should be sent (if different from above):	Bohl 17
	Postfach
*	CH-9004 St. Gallen, Switzerland
	Attn: Corprate Actions
	+41 71 242 59 19
Amount of Claim Being Transferred:	
USD 28'742.55	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM
	ONLY SEE ATTACHED EVIDENCE OF
Court Claim No. (if known): 406E4 02	TRANSFER FOR DETAILS
Court Claim No. (if known): 49651.03	
Date Claim Filed: Oktober 27, 2009	

I declar	re under pe	enalty of	p∉rjury that	the informat	ion provide	ed in thi	s notic	e is true an	d correct to
	st of my kin	owledge	nd belief.			mal	241	7016	
By:		1.		_/ -	Date:	ULI	(	(0/16	
	Adrian Le	f, author	ized represe	entative					

Tibonir Waterlie, authorited Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

## **Evidence of Transfer**



## EVIDENCE OF TRANSFER OF CLAIM

TO:

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United States Bankruptcy Court for the Southern District of New York (the

"Bankruptcy Court") One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 49651 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
10% BRC Lehman Brothers Securities NV 2008-20.3.2009	CH0036891395	Lehman Brothers Treasury BV	LBH Inc.	EUR 20'000

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 13 and in paragraphs/lines 19 of the Addendum to the Proof of Claim.

**NOTENSTEIN LA ROCHE PRIVATBANK AG,** ("<u>Transferor</u>") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Zähringer Privatbank AG Schmiedenplatz 3 CH-3011 Bern PHONE ++41 31 307 50 00

Attn: Adrian Lerf

EMAIL adrian.lerf@zaehringer-privatbank.ch

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the

Zaehringer Page 2 of 3 Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated January  $8^{th}$  2016.

Notenstein La Roche Privatbank AG Transferor

Bv:

Name: August Zingg

Title: Member of the Management

By:

Name: Marco Rinaldi

Title: Member of the Management

ACKNOWLEDGED BY:

[Zähringer Privatbank AG]

Transferee

Name: Adrian Lerf

Title: Authorized representative



registered mail

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076 United States

Contact: Michael Maurer T+41 31 950 73 11, F+41 31 950 76 51 michael.maurer1@swisscom.com Gümligen, February 29th, 2016

In re: Lehman Brothers Holdings Inc.

Dear Sir or Madam

Please find attached four notices of transfer of claims

ISIN CH0036891395

Transferee: Zähringer Privatbank AG

Transferor: Notenstein La Roche

Privatbank AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

Yours faithfully Swisscom Banking Provider AG

Michael Maurer

Katharina Tschannen

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